

<p>FORM PTO/SB/08A/B (10-01) Substitute for PTO-1449A/B</p> <p>INFORMATION DISCLOSURE</p> <p>STATEMENT BY APPLICANT</p> <p>(use as many sheets as necessary)</p>	Attorney Docket Number	50420/DBP/T360
	Application Number	N/A 10/618,114
	Filing Date	July 10, 2003
	Applicant(s)	Hidegori Takahashi, et al.
	Group Art Unit	N/A 2874
	Examiner Name	N/A Phan Palmer

U.S. PATENT DOCUMENTS				
EXAMINER INITIALS	Cite No. ¹	DOCUMENT NUMBER Number . kind code ² . (If known)	PUBLICATION DATE MM-DD-YYYY	NAME OF PATENTEE
PH		6,289,151 B1	09-11-2001	Kazarinov, et al.

FOREIGN PATENT DOCUMENTS				
EXAMINER INITIALS	Cite No. ¹	Foreign Patent Document Country Code ³ - Number ⁴ . Kind Code ⁵ (If known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
				T ⁶ (✓)

OTHER DOCUMENTS		
EXAMINER INITIALS	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
PH		HORST, ET AL., "Tunable Ring Resonator Dispersion Compensators Realized in High-Refractive-Index Contrast SiON Technology", 26 th European Conference on Optical Communication, Post Deadline Papers: Topic 2, September 3-7, 2000, 4 pages, Munich Germany
PH		SUZUKI, ET AL., "Ring resonators using hybrid stacked waveguides", The Institute of Electronics, Information and Communication Engineers, Autumn Conference, c-234, 1992, 3 pages

EXAMINER SIGNATURE	Phan T. H. Palmer	DATE CONSIDERED	01/26/05
<p>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.pto.gov or MPEP 901.4. ³Enter Office that issued the document, by the two-letter code (WIPO standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English Language Translation is attached.</p>			

Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

DBP/aam

AAM PAS514488.1-7/10/03 3:34 PM